

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hastings et al

Application Serial No.: 09/466,777

Filed: December 20, 1999

For: **Novel Hyaluronan-Binding
Proteins and Encoding Genes**



Art Unit: 1655

Examiner: Einsmann, J.

Attorney Docket No.: PF487

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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.56

Commissioner for Patents
Washington, D.C. 20231

S I R:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of a claim of the subject application, Attorneys for Applicants hereby direct the Examiner's attention to the references AA-AC listed on the attached form PTO/SB/08. Copies of references AA-AC are enclosed.

Identification of the listed references is not to be construed an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

The above information is presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be considered during the prosecution of this application.


Applicants respectfully request that the Examiner review the listed references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due

in connection herewith. However, should the Patent Office determine otherwise, please charge such fee to our Deposit Account No. 08-3425.

Respectfully submitted,

Date: February 29, 2001


Kenley K. Hoover (Reg. No. 40,302)
Attorney for Applicants

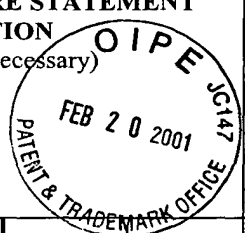
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KKH/ba

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (use as many sheets as necessary)			Complete If Known	
			Application Number	09/466,778
			Filing Date	December 20, 1999
			First Named Inventor	Hastings et al.
			Group Art Unit	1655
			Examiner Name	Einsmann, J.
			Attorney Docket Number	PF487
Sheet	1	of		

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,635,370		HOCKFIELD, et al.	06-03-97	RECEIVED FEB 26 2000 TECH CENTER 1600

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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	AB	DAY, "The structure and regulation of hyaluronan-binding proteins," Biochemical Society Transactions, 27(2):115-121 (1999)	
	AC	KNUDSON, et al., "Hyaluronan-binding proteins in development, tissue homeostasis, and disease," The FASEB Journal, 7(13):1233-1241 (1993)	

Examiner	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIP Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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